

# Cholesterol modulation as an emerging strategy for the treatment of Alzheimer's disease

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The basis for therapeutic strategies targeting the amyloid- $\beta$  protein (A $\beta$ ) has come from studies showing that accumulation and aggregation of the A $\beta$  within the brain is likely to cause Alzheimer's disease (AD). Along with an ever-increasing understanding of A $\beta$  metabolism, many potential therapeutic strategies aimed at altering A $\beta$  metabolism have emerged. Among the more intriguing targets for therapy are enzymes involved in cholesterol homeostasis, because it has been found that altering cholesterol can influence A $\beta$  metabolism in experimental model systems, and that cholesterol-lowering agents, specifically HMG-CoA reductase inhibitors, could reduce the incidence of AD. It is likely that cholesterol influences A $\beta$  metabolism in several ways, including altering A $\beta$  production and perhaps altering A $\beta$  deposition and clearance. Thus, pharmacological modulation of cholesterol levels could provide a relatively safe means to reduce A $\beta$  accumulation in the brain, and thereby prevent or slow the development of AD.

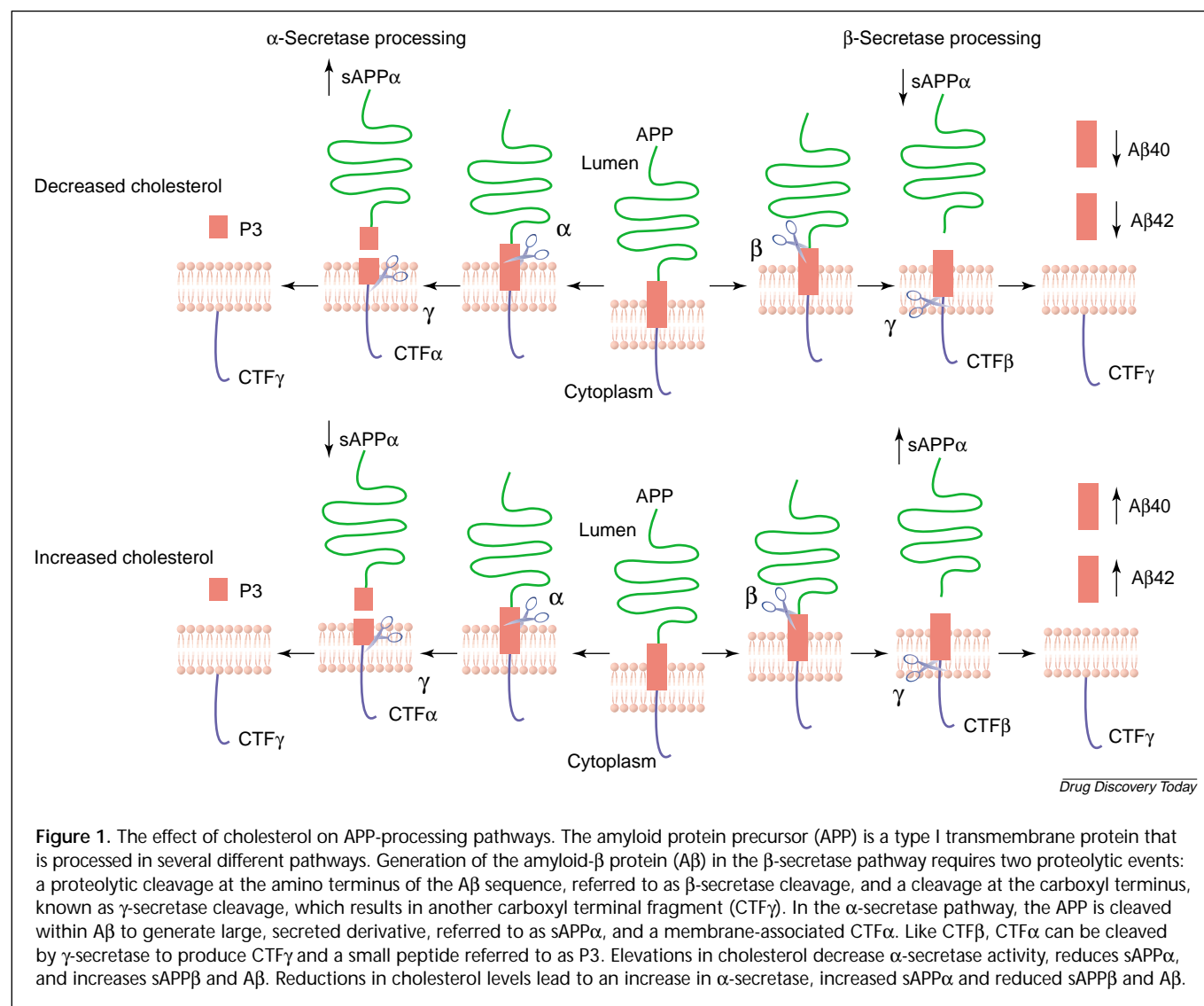
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▼ Amyloid- $\beta$  protein (A $\beta$ ) deposition is one of the pathological hallmarks of Alzheimer's disease (AD). In the AD brain the ~4 kDa A $\beta$  is deposited within senile plaques and often in walls of cerebral blood vessels. Much of the A $\beta$  that accumulates in the AD brain is deposited as amyloid, which is, by definition, a highly insoluble, proteinaceous material with a  $\beta$ -pleated sheet conformation. There is now compelling biochemical, pathological and genetic evidence that accumulation and aggregation of A $\beta$  plays a causal role in the development of AD (Ref. 1). Thus, it appears that AD is analogous to atherosclerotic disease, in that these are both age-dependent diseases in which abnormal accumulation of a normal metabolite leads to disease. When contemplating therapeutic strategies aimed at

prevention or treatment of AD, it is possible that lessons learned from the study of atherosclerotic disease might be applicable to AD. In atherosclerotic disease, cholesterol deposition precedes clinical symptoms by many years. It is also likely that A $\beta$  deposition precedes the clinical symptoms of AD by many years, and perhaps even decades. Because of this, it is likely that the best treatment for AD will not be therapeutic intervention at the time symptoms appear, but rather primary prevention. Of course, there are currently numerous difficulties with primary prevention studies in AD, including the lack of ability to predict who will get the disease, a poor understanding of when would be the best time for preventative therapy to be initiated, and a lack of safe drugs with which to attempt early intervention studies. In the development of therapeutic strategies for atherosclerotic diseases, similar issues were faced and largely overcome. Finally, just as debate over the role of A $\beta$  in AD continues, and will persist until therapeutic strategies targeting A $\beta$  are fully evaluated, so similar debates over the relevance of cholesterol levels to atherosclerotic disease persisted until evidence emerged that cholesterol lowering did actually reduce the risk of ischemic events.

## A $\beta$ is generated from the APP by proteolytic processing

Because decreasing A $\beta$  production is likely to be therapeutic, the proteases that produce A $\beta$ , as well as the factors that regulate their activity, are major targets for drug discovery. A $\beta$  is produced from the amyloid- $\beta$  precursor protein (APP) through two sequential proteolytic



cleavages<sup>1</sup>. APP is first cleaved by a membrane-bound aspartyl protease, referred to as  $\beta$ -secretase, at the amino terminus of  $A\beta$  to generate a large secreted derivative ( $sAPP\beta$ ) and a membrane-bound APP carboxyl terminal fragment ( $CTF\beta$ ) (Fig. 1). Subsequent cleavage of  $CTF\beta$  by  $\gamma$ -secretase results in the production of  $A\beta$  peptides of varying length, with the two species of most interest being a 40 aa  $A\beta$  peptide ( $A\beta_{40}$ ) and a 42 aa  $A\beta$  peptide ( $A\beta_{42}$ ). Concurrently, a cognate  $CTF\gamma$  is produced. Two homologous polytopic membrane proteases, referred to as presenilin 1 (PS1) and 2 (PS2), are probably  $\gamma$ -secretases<sup>2</sup>. If not  $\gamma$ -secretases, presenilins (PSs) are at least essential cofactors for this cleavage. In an alternative, presumably non-pathogenic pathway, APP is cleaved within the  $A\beta$  sequence by  $\alpha$ -secretase, which generates another large secreted derivative ( $sAPP\alpha$ ) and  $CTF\alpha$ . Like  $CTF\beta$ ,  $CTF\alpha$  can be cleaved by  $\gamma$ -secretase to generate  $CTF\gamma$ . Two metalloprotease

disintegrins, ADAM10 and ADAM17 (also known as TNF- $\alpha$  converting enzyme; TACE) have been implicated as  $\alpha$ -secretases, although it is likely that additional  $\alpha$ -secretases exist that have yet to be identified.

Because drugs targeting proteases have been successfully developed for the treatment of other diseases, targeting  $\beta$ - and  $\gamma$ -secretase activities has been a major focus of drug discovery efforts, to date. Inhibition of either of these proteolytic activities will decrease  $A\beta$  production. However, there are additional ways to lower  $A\beta$  production that do not involve direct inhibition of the proteases that generate  $A\beta$ . Under certain circumstances the  $\alpha$ - and  $\beta$ -secretase pathways are competitive, and stimulation of one pathway reduces the amount of APP processed by the other pathway. Indeed, activation of the  $\alpha$ -secretase pathway using protein kinase C (PKC) activators can lead to a decrease in  $A\beta$  production<sup>3-5</sup>. Finally, it is clear that other compounds

such as wortmannin can influence the APP processing pathways through unknown mechanisms to reduce A $\beta$  production<sup>6</sup>.

### Regulation of APP-secretase activity by cholesterol

Enzymes regulating cholesterol have emerged as targets for the treatment of AD, because it has been shown that decreasing cholesterol decreases A $\beta$  production, and that increasing cholesterol increases A $\beta$  production (Fig. 1)<sup>7–11</sup>. Although the exact mechanisms by which cholesterol regulation alters A $\beta$  production are not known, it is apparent that cholesterol can have numerous effects on the APP secretases. Cholesterol has been reported to negatively regulate  $\alpha$ -secretase<sup>12–14</sup>, whereas  $\beta$ - and  $\gamma$ -secretase activities are positively regulated by cholesterol<sup>17,15</sup>. The effect of cholesterol on  $\beta$ - and  $\alpha$ -secretase appears to be indirect. Some evidence indicates that cholesterol appears to influence trafficking of secretase or enzyme<sup>12–14</sup>. Alternatively, cholesterol could influence the conformation of either substrate or enzyme, thereby altering the site or rate of cleavage of the substrate<sup>13</sup>. However, additional studies are needed to better understand the effect of cholesterol on these enzymatic activities that cleave the APP ectodomain. By contrast, the amount of cholesterol in the membrane appears to directly affect  $\gamma$ -secretase activity (Golde, T., unpublished observation). Thus the net effect of decreasing cholesterol is to increase  $\alpha$ -secretase cleavage of APP and decrease both  $\beta$ - and  $\gamma$ -secretase cleavage, resulting in a net decrease in A $\beta$  production. Conversely, increasing cholesterol appears to have the opposite effect on these combined activities, resulting in increased A $\beta$  production.

More recent evidence suggests that it might not be total cholesterol that regulates these secretase activities, but rather the ratio of free cholesterol (FC) to cholesterol esters (CE) (Ref. 16). In cells devoid of acetyl co-enzyme A:cholesterol acyltransferase (ACAT), the enzyme that converts FC to CE, A $\beta$  production is decreased. These cells have decreased levels of CE and increased FC. In cells with increased CE, A $\beta$  production is increased, whereas ACAT inhibitors decrease A $\beta$  production from a variety of cells. These effects, however, were only observed when cells contained physiological or supra-physiological levels of FC, and not when cells had low FC levels. In contrast to treatments such as methyl- $\beta$ -cyclodextrin, which alter total cholesterol and reduce  $\beta$ - and  $\gamma$ -secretase activity and increase  $\alpha$ -secretase activity, decreases in CE levels were accompanied by an apparent decrease in all three secretase activities. Of particular interest was the finding that ACAT inhibitors reduced levels of the putative secretase, PS1, suggesting that CE could modulate the expression levels of at least one of the secretases.

### Other effects of cholesterol on A $\beta$ metabolism

In addition to effects on A $\beta$  production mediated by the APP secretases, cholesterol might also play a role in A $\beta$  clearance and in A $\beta$  aggregation. *In vitro* studies demonstrate that cholesterol can accelerate the formation of A $\beta$  aggregates. These effects have been observed when A $\beta$  is aggregated in aqueous solutions<sup>17</sup>, and when A $\beta$  is applied to membranes that vary in cholesterol content<sup>18</sup>. In addition, studies in Niemann Pick type C (NPC1) deficient cells that exhibit defects in cholesterol transport demonstrate that A $\beta$  accumulates as cholesterol accumulates in late endosomes<sup>19</sup>. Significantly, accumulation of A $\beta$  was also noted *in vivo* in brains of mice with Niemann Pick disease.

### Lipid rafts, cholesterol and A $\beta$ production

Lipid rafts are membrane microdomains rich in cholesterol, select gangliosides, and glycosylphosphatidyl-inositol (GPI)-anchored proteins that are thought to play a role in cell signalling<sup>20</sup>. Previous studies have shown that PS1, a probable  $\gamma$ -secretase, and APP CTFs,  $\gamma$ -secretase substrates, reside in lipid rafts<sup>21</sup>. We have recently shown that the majority of  $\gamma$ -secretase activity localizes to lipid-raft microdomains, indicating that these domains are the major site where A $\beta$  is produced in cells, and that  $\gamma$ -secretase cleavage in these membranes is cholesterol-dependent (Golde, T., unpublished). Lipid rafts have also been implicated as a site for cellular accumulation of A $\beta$  in the brain<sup>22,23</sup>. Two raft components that are likely to mediate this accumulation are GM-1 ganglioside and cholesterol. Like cholesterol, GM-1 ganglioside appears to bind to A $\beta$  and possibly promotes fibril formation<sup>24</sup>. Based on these observations, it is possible to speculate that raft integrity and lipid composition create an optimal environment for amyloidogenic processing of APP. Because rafts could cluster enzyme and substrate in an optimal configuration for amyloidogenic cleavage, it is possible that modifying raft components could alter the configuration of either secretase or substrate within the raft. Therefore, factors that would increase the stability of existing raft structures, increase the number of rafts in a given membrane structure, or alter the recruitment of additional protein factors to existing rafts, might tend to increase A $\beta$  production, whereas disrupting rafts would inhibit cleavage. Extracellular, soluble A $\beta$  is typically present at low nanomolar concentrations that are unlikely to cause aggregation without additional fibril-promoting factors; therefore, it is also possible that A $\beta$  deposition in the AD brain begins in lipid rafts, where there is local production and concentration of A $\beta$  in an environment that might be conducive to aggregation.

Because cholesterol is an essential component of lipid-raft domains, and removal of cholesterol from membranes

disrupts raft function, it is possible that the effect of cholesterol on secretase activity can largely be explained by APP-processing events that are associated with lipid rafts. However, additional studies will be needed to determine whether altering cholesterol levels can influence APP distribution in raft domains. To date, there have been no studies exploring whether modulation of cholesterol levels *in vivo* can alter raft function and composition.

### ApoE4, atherosclerotic disease and AD

Genetic studies of late-onset AD provided one of the first clues that cholesterol might play a role in AD pathology, when it was discovered that the E4 isoform of apolipoprotein E (APOE) is a risk factor for AD (Ref. 25). APOE is a plasma lipoprotein, but is also the major lipoprotein expressed in the brain. In the periphery, APOE plays a basic role in the degradation of particles rich in cholesterol and triglycerides. It can bind to low density lipoprotein (LDL) receptors, but also to receptors for chylomicron remnants. There are three major APOE isoforms, E2, E3, and E4. Their role in lipoprotein metabolism is related to their affinity for receptors. The E3 allele is the predominant form and E3 affects metabolism of lipoproteins in a standard way. When compared with the E3 allele, the E2 allele is associated with lower LDL levels, whereas E4 is associated with higher LDL levels. This has some impact on the progression of arteriosclerosis, and is likely to be responsible for the modest increase in risk of cardiac events in patients with the E4 allele, and the slight protective effect of the E2 allele<sup>26</sup>. Similarly APOE2 appears to be associated with a decreased risk for AD (Ref. 27).

Although the parallels between the risk for atherosclerotic disease and AD and APOE isoforms is tantalizing, it is not clear whether the increased risk of AD associated with the APOE4 allele is attributable to alterations in plasma cholesterol levels; if so, then small differences in plasma A $\beta$  associated with APOE4 can increase the risk of AD. In fact, APOE4 only increases plasma cholesterol on average by 10–20 mg dl<sup>-1</sup>, and >85% of the variability in plasma cholesterol is independent of the APOE genotype<sup>26</sup>. Furthermore, there is no evidence available that would support the notion that the risk for AD could be attributable to changes in brain cholesterol. APOE knockout mice show no changes in total brain-cholesterol levels, despite the fact that plasma cholesterol is elevated, suggesting that the APOE genotype is unlikely to markedly influence total brain cholesterol, although it is possible that more subtle alterations in cholesterol metabolism do exist, such as alteration in sterol recycling (Holtzman, D., pers. commun.). Studies on transgenic and knockout mice have revealed that APOE is a necessary factor for fibrillar amyloid deposition;

in its absence, A $\beta$  is deposited, but only in non-fibrillar forms<sup>28</sup>. When human APOE3 or APOE4 are expressed under the control of brain-specific promoters in an APOE-knockout background, and these mice are then crossed to mice overexpressing APP, fibrillar A $\beta$  deposits do form. Moreover, in mice expressing APOE4 these A $\beta$  fibrils form faster than in mice expressing APOE3 (Refs 29–31). Together with *in vitro* data suggesting that APOE might promote A $\beta$  fibrillization<sup>32</sup>, these studies suggest that APOE plays a direct role in A $\beta$  aggregation. Thus, although it has not been excluded that the increased risk for AD associated with APOE is attributable to alterations in cholesterol levels, it appears that the effect of APOE4 is to directly alter A $\beta$  aggregation.

### Cholesterol and A $\beta$ : animal model studies

Evidence that increasing cholesterol can increase amyloid deposition in animal models first came from studies showing that A $\beta$  immunoreactivity was increased in the brain of rabbits fed a high-cholesterol diet<sup>33</sup>. Subsequent studies in APP transgenic mouse models also showed that hypercholesterolemia increased A $\beta$  deposition and senile-plaque formation in the brain. More recently, two studies have shown cholesterol-lowering agents can alter A $\beta$  levels in animal models. In one study, guinea pigs receiving high doses of the hydroxymethyl co-enzyme A (HMG-CoA)-reductase inhibitor, simvastatin, for several weeks showed reduced levels of A $\beta$  in the brain and cerebrospinal fluid (CSF) (Ref. 15). This compound and other HMG-CoA-reductase inhibitors (statins) decrease cholesterol levels through inhibition of *de novo* synthesis by blocking the conversion of HMG-CoA to mevalonate. In the simvastatin-treated animals, serum cholesterol was reduced by 80%, and although brain cholesterol was not decreased, there was a twofold decrease in the cholesterol precursor lanosterol. In another study, APP/PS1 co-transgenic mice were chronically treated with the cholesterol inhibitor AY9944, a 7-dehydrocholesterol-reductase inhibitor that lowers cholesterol by inhibiting the conversion of lanosterol to cholesterol. The results of this study showed a significant reduction in the A $\beta$  levels and plaque load of animals receiving the drug (Refolo, L., pers. commun.). In this case a small, but significant, 12% reduction in total brain cholesterol was seen in the treated animals. These studies provide evidence that compounds targeting enzymes that regulate cholesterol levels can have an impact on A $\beta$  accumulation in the brain. Additional studies, however, are needed to determine whether this reduction results in any significant behavioural improvements in the mouse models, and also to better understand the mechanisms responsible for these *in vivo* alterations in A $\beta$

production and deposition, especially as changes in total cholesterol are either absent or minimal in these two studies.

### Human studies

Evidence from epidemiological studies suggests that cholesterol might influence the development of AD. There is good agreement between these studies, with all of them demonstrating that high serum-cholesterol levels increase the risk for AD (Refs 34–37). In addition, two recent studies indicate that the use of statins is also associated with a decreased risk of dementia and AD (Refs 38,39). Together, these observations provide a provocative, but preliminary link in humans between cholesterol and the development of AD-type dementia that would correlate with the data from cells and animal studies. Lowering cholesterol reduces amyloid production, slows deposition, and reduces the risk for AD, whereas increasing cholesterol increases A $\beta$  production, increases deposition, and increases the risk for AD. However, some caution in interpreting these studies in this manner is warranted. With one exception, all of these studies are retrospective incidence studies. The exception being a recent prospective population-based study that examined a variety of mid-life vascular risk factors, and then followed the patients for subsequent development of AD (Ref. 37). In addition, all of these studies rely on clinical diagnoses of AD, and not autopsy-proven AD. Also, these studies do not establish that alterations in A $\beta$  account for the increased risk associated with increased cholesterol and decreased risk associated with the use of statins. This issue becomes even more complex when data demonstrating that co-incident stroke can precipitate cognitive changes is considered<sup>40</sup>. Because the link between cholesterol and ischemic cerebrovascular events is well-established<sup>41</sup>, it is possible that the beneficial effect of statins and the risk associated with increased cholesterol are attributable to the fact that a CNS ischemic event can convert preclinical AD to clinical diagnosable dementia<sup>40</sup>. In this regard, it is worth noting that in the prospective population-based study, high systolic blood pressure was associated with a higher relative risk for AD than serum cholesterol alone<sup>37</sup>. In any case and regardless of mechanism, treatment with statins could have a significant clinical benefit for the prevention of AD.

### Cholesterol synthesis inhibitors, cholesterol metabolism in the brain, and A $\beta$ lowering

Until recently, the study of brain cholesterol metabolism had been relatively ignored compared with the study of peripheral cholesterol metabolism. The recent links between cholesterol and AD provide strong impetus for further study of CNS cholesterol metabolism. Currently, it

is known that there are many differences between cholesterol metabolism in the brain and periphery, but many aspects of cholesterol metabolism have yet to be explored in the brain. One of the most striking differences is that the turnover of cholesterol in the brain is 1% as rapid as the turnover of cholesterol in the periphery. Another is that the input in the CNS comes almost exclusively from *de novo* synthesis and not from transfer from the periphery<sup>42</sup>. However, most drugs that have been developed to lower cholesterol have largely been evaluated for their effects on peripheral cholesterol levels and not on CNS cholesterol levels. Although the animal model studies strongly suggest that known inhibitors of cholesterol synthesis can influence A $\beta$  production and possibly its deposition, it is still not clear that this is caused by changes in cholesterol levels. Inhibition of cholesterol synthesis results in a marked alteration in cellular physiology, and the brain appears to respond by trying to maintain normal levels of cholesterol<sup>42</sup>. Thus, even when peripheral cholesterol is markedly reduced, CNS cholesterol balance is maintained. Indeed, marked cellular changes can occur in response to cholesterol synthesis inhibitors, in an apparent effort by the cell to maintain physiological levels of cholesterol.

One of the difficulties in studying statins in animal models is that statin treatment in many small animals, including mice and guinea pigs, results in massive upregulation of HMG-CoA reductase<sup>43</sup>. Therefore, to achieve effects on cholesterol levels, extremely high doses of these drugs are needed. In addition, it is documented that statins can have additional effects that might be independent of their effects on cholesterol levels. In fact, studies of statins in stroke and myocardial infarction show that they have beneficial effects beyond the measured effect on cholesterol levels<sup>41</sup>.

### Future directions

The link between cholesterol and A $\beta$  is certainly provocative. Cell-culture studies indicate that cholesterol can alter A $\beta$  production and there is circumstantial evidence suggesting that cholesterol can alter A $\beta$  deposition. However, there is no strong evidence demonstrating that cholesterol levels directly influence A $\beta$  production, deposition, or both in humans. As mentioned previously, it is entirely possible that cholesterol-lowering agents decrease the risk for AD through mechanisms that are independent of A $\beta$ . Furthermore, another interpretation of the data on cholesterol-lowering agents in the animal studies is that it is the response of the cell to the cholesterol-lowering agent, and not the reduced cholesterol itself, that mediates the decrease in production and subsequent decrease in A $\beta$  deposition. Alternatively, as suggested by the recent work on



CE, A $\beta$  production and deposition could be regulated by other cholesterol metabolites or other lipids that could potentially be altered by statins and other cholesterol synthesis inhibitors. In any case, future studies will be needed to further evaluate the link between cholesterol, cholesterol metabolites and A $\beta$  production and deposition, because such studies might identify novel therapeutic targets (e.g. ACAT) and perhaps potential risk-factors for AD.

Despite the uncertainties regarding the precise mechanism, it would seem that further study of statins as potential AD therapeutics is warranted. Indeed, Pfizer (New York, NY, USA) and the Institute for the Study of Aging (ISOA; New York, NY, USA) are co-sponsoring a Phase II placebo-controlled therapeutic trial on the effect of Lipitor® (Atorvastatin) on cognition in AD patients, and the National Institutes of Health (NIH, Bethesda, MD, USA) is currently planning a therapeutic trial of lovastatin. It is also likely that pharmaceutical companies with other FDA-approved statins will consider therapeutic and or preventative trials to study the effect of statins in the treatment or prevention of AD. One issue regarding statins and clinical trials for AD is which statin to use. Although some differences in brain penetration might exist, all FDA-approved statins block cholesterol synthesis in the brain; thus, there is no *a priori* data to suggest that one statin will be superior to another. Indeed, treatment with multiple statins showed a reduced risk for AD in the epidemiological studies.

As with any potential AD therapy, it is possible that therapeutic trials could fail, even though the therapy might be effective if it was implemented as primary prevention. For this reason it will be extremely important to determine whether statins lower CSF A $\beta$  and plasma A $\beta$  in any therapeutic trial, even if they do not result in cognitive improvements. Because statins are extremely safe drugs that are well tolerated with chronic use, they are more likely to be considered for primary prevention trials than emerging drugs, such as secretase inhibitors, that have unknown long-term safety profiles. Given the recently issued NIH guidelines, which have decreased the threshold for intervention in patients with elevated LDL-cholesterol levels, it is likely that such studies could be readily undertaken because an increasing numbers of individuals are likely to be placed on statins because of these recent recommendations. Indeed, if statins do reduce the risk for AD and these NIH guidelines are implemented, a sufficient number of people could be placed on statins in the next few years to see an appreciable decline in the incidence of AD.

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- Up-to-date News, News in brief and People

### Reviews

The impact of informatics and computational chemistry on synthesis and screening  
by Charles J. Manly, Shirley Louise-May, and Jack D. Hammer

Use of human tissue in ADME and safety profiles of development candidates  
by Robert A. Coleman, Wayne P. Bowen, Ian A. Baines, Amanda J. Woodroffe and Anthony M. Brown

Population-based gene discovery in the post-genomic era  
by Naomi B. Zak, Sagiv Shifman, Anne Shalom and Ariel Darvasi

### Monitor

Novel antiviral molecules and drug delivery